# **Code Clones in Feature-Oriented Software Product Lines**

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#### **Abstract**

Some limitations of object-oriented mechanisms are known to cause code clones (e.g., extension using inheritance). Novel programming paradigms such as feature-oriented programming (FOP) aim at alleviating these limitations. However, it is an open issue whether FOP is really able to avoid code clones or whether it even facilitates (FOP-related) clones. To address this issue, we conduct an empirical analysis on ten feature-oriented software product lines with respect to code cloning. We found that there is a considerable amount of clones in feature-oriented software product lines and that a large fraction of these clones is FOP-related (i.e., caused by limitations of feature-oriented mechanisms). Based on our results, we initiate a discussion on the reasons for FOP-related clones and on how to cope with them. We exemplary show how such clones can be removed by the application of refactoring.

Categories and Subject Descriptors D.2.3 [SOFTWARE ENGINEERING]: Coding Tools and Techniques

General Terms Design, Languages

*Keywords* Code clones, software product lines, feature-oriented programming, refactoring

#### 1. Introduction

Code cloning, that is, the replication of code fragments in source code, is known to be a serious and common problem in object-oriented programming (OOP) [6, 10, 35]. Numerous studies have shown that code clones occur frequently in software systems, ranging from 7 % to 23 % [6, 10, 21, 26, 32]. Although recent studies discuss the harmfulness of code cloning controversial, it is widely accepted that code clones have a negative effect on the software system, in terms of a decrease of maintainability and the introduction of errors [10, 23, 35]. The causes for code cloning have been widely discussed and include, amongst others, shortcomings of the host language, which force the programmer to introduce code clones without any alternative.

Recently, novel programming paradigms such as *feature-oriented programming* (FOP) gained attention that aim at overcoming certain limitations of OOP. In the context of FOP, a feature is an increment in program functionality. The functionality encompassed by a feature is encapsulated in a cohesive unit called *feature module*. Feature modules can be used to build a *software product line* (SPL)

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by means of compositional programming. Typically, an SPL consists of a set of features<sup>1</sup>. A user-defined subset of these features is composed to synthesize a tailored product. In comparison to OOP, FOP aims at a higher modularity and reusability. However, up to now, no studies have been conducted to explore the presence and effects of code clones in feature-oriented software product lines. Based on our experience with SPL development, we assume that, although FOP has the potential to avoid OOP-related code clones, it may introduce FOP-related clones.

We want to shed light on the issue of code cloning in FOP and raise a number of fundamental questions: Do code clones exist in feature-oriented SPLs? Is FOP prone to introduce FOP-related clones especially in the context of SPLs? If clones exist, is the development process of the SPL crucial (e.g., from scratch or by refactoring legacy applications)? And finally, what can we do against code clones in feature-oriented SPLs?

To answer these questions, we perform a detailed code clone analysis of ten feature-oriented SPLs, six implemented from scratch and four refactored from legacy applications. We use the established clone detection tool *CCFinder*<sup>2</sup> [24] to obtain the code clones for the respective product lines. In particular, we make the following contributions:

- We perform a conceptual analysis of code clones in FOP. We identify limitations of FOP that are likely to introduce code clones. For example, the coarse-grained granularity of extensions in FOP is a limitation that may lead to code clones.
- By means of a case study on ten different non-trivial featureoriented SPLs, we analyze the amount and characteristics of clones in FOP.
- We explore and discuss whether code clones occur independently of the fact that an SPL has been developed from scratch or refactored from a legacy application.
- Based on the results of our analysis, we discuss possibilities of removing clones by means of refactoring without breaking the validity of the underlying SPL.
- We initiate a discussion on the existence and effect of code clones in FOP.

Based on our analyses, we will partly answer the questions raised above. In a nutshell, we gained the following insights:

- A considerable amount of (FOP-related) code clones actually exists in the analyzed SPLs.
- Code clones can be removed (in parts) through the application of refactorings that are tailored to feature-oriented SPLs.

<sup>&</sup>lt;sup>1</sup> The terms feature and feature module are used synonymous for the remaining paper, because there is a 1:1 mapping between problem and solution space

<sup>&</sup>lt;sup>2</sup> http://www.ccfinder.net

# 2. Background

#### 2.1 Code Clones

We give a short overview of research on code clones to lay the foundation for the remaining sections. Code clones are known to have a negative effect on programs. Amongst others, increased maintenance costs and an increased probability of bugs are the most devastating consequences [37, 43].

Code clones are classified into four categories: *type-I* (identical), *type-II* (similar), *type-III* (statements are removed, added or changed) and *type-IV* (semantically similar) clones. In Figure 1, we give an example for a *type-II* clone pair, which we detected in one of our case studies, the *graph product line* (*GPL*) [34]. The two code fragments differ only in the variable names urep and vrep (Lines 4–8 and Lines 10–15). Furthermore, corresponding code clones can be encompassed to *clone classes*, e.g., by establishing an equivalence relation between them. Such clone classes are useful for further steps such as analysis or removal since they allow to treat the contained clones as a unit. Several approaches for the detection of clones exist, which are text-based [6, 17], tokenbased [24, 32], tree-based [10, 31] and metric-based [30, 35] clone detection. For detailed information on clone detection techniques and tools we refer to Roy et al. [44].

The result of the clone detection can be used for further processing. Generally, two approaches exist: code clone removal and code clone management, of which the latter implies that the clones remain in the program. For code clone removal, on which we concentrate in this paper, an analysis of the detected clones is carried out to obtain information on the clones useful for their removal, e.g., identifying corresponding clones (*clone classes*) or determining the clone type. Then, clones are removed using *refactorings* that is restructuring the program in a behaviour-preserving way [18]. Several approaches exist, in which different refactorings are applied manually or (semi-)automatically to remove clones [7, 19].

```
class Graph { /*...*/
2
     public Graph Kruskal {
        // some code
        for(int j=0;j<(vrep.members).size();j++) {</pre>
5
          vaux = (Vertex) (vrep.members).get(j);
6
          vaux.representative = urep;
          (urep.members).add(vaux);
8
10
        for(int j=0;j<(urep.members).size();j++) {</pre>
          vaux = (Vertex) (urep.members).get(j);
11
12
          vaux.representative = vrep;
13
          (vrep.members).add(vaux);
14
15
     }
    }
16
```

**Figure 1.** An example of a *type-II* code clone, taken from *graph* product line (GPL) [34]

#### 2.2 Feature-Oriented Software Product Lines

An SPL is a set of software-intensive systems that share a common, managed set of features [13]. In the context of this paper, a feature is an increment in functionality that implements a stakeholder's requirement [9, 42]. To create a concrete program from an SPL, the user selects the desired features, typically a subset of all features of the SPL, and a generator generates the tailored program (a.k.a. *variant*). Typically, different programs of an SPL contain common as well as different features.

The variability of an SPL is described by a *feature model* [25]. A feature model defines the features of an SPL and their dependencies. In Figure 2, we depict a feature model of a *Stack* prod-

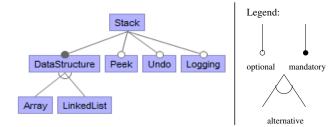


Figure 2. Feature model of the Stack SPL

uct line. The root of the model represents the SPL itself whereas the remaining nodes represent the individual features. Features can be optional or mandatory and beyond that, these features can be grouped as alternative features. Optional and alternative features are used to express variability in an SPL while mandatory features express commonalities across all variants of the SPL.

There are different approaches to implement SPLs, e.g., frameworks [22] or conditional compilation [27, 41]. Here, we use FOP, a language-based approach (a.k.a compositional approach) that gained much attention in the past and aims at the modularization of a program by decomposing it along features. Several FOP languages and tools exist, such as AHEAD [9], FeatureHouse [5] or FeatureC++ [3], which provide various mechanisms for the modularization and composition of features. The core idea is that the functionality encompassed by a feature is implemented by a cohesive unit called feature module. As a result, we obtain a clean relationship between the feature and its implementation unit. The increment of functionality, introduced by a feature, is realized by adding new structures such as classes or methods and refining existing ones, such as extending a method.

```
| Class Stack { ... | void push(int v) {/*...*/} | int pop() {/*...*/} | void push(int v) {/*...*/} | void undo() {/*...*/} | void push(int v) { | backupPush; void push(int v) { | backupPush=v; original(v); | original(v); | } } |
```

**Figure 3.** Feature-oriented implementation of *Stack* with features *Peek* and *Undo* 

In Figure 3, we show three features of our *Stack* product line. Feature *Stack* is the basic feature of our product line, in which the class Stack is initially declared. The two features *Peek* and *Undo* refine this class in order to add functionality (indicated by 'refines class...'). Feature *Peek* introduces a new method that enables the user to copy the upmost element of the stack. Feature *Undo* introduces a method undo and extends the existing method push by an additional statement at the beginning so that the upmost element is assigned to a backup variable. The keyword original invokes the method push of the original class Stack. We use the '•'-operator to denote the composition of features to a program. Although in our example each feature contains only one class, typically multiple classes belong to a feature.

# 3. Reasoning about Code Clones in Feature-Oriented SPLs

Limitations of (object-oriented) programming languages are discussed as a reason for code clones [8, 43]. Mechanisms such as

inheritance or generics are not always sufficient for reusing functionality or *expressing variability* in programs and thus contribute to code cloning. By contrast, novel programming paradigms such as FOP provide mechanisms to overcome these limitations. We illustrate the problem of expressing variability with our *Stack* product line example.

Composing the three features shown in Figure 3, we can generate four different, meaningful combinations of programs (variants): Stack, Peek • Stack, Undo • Stack, and Undo • Peek • Stack. Implementing these four variants in OOP requires four separate classes, one for each program, which we show in Figure 4. Creating the three programs Stack, PeekStack, and UndoStack can be done be using simple inheritance without any code clone activity. However, the creation of the fourth program, UndoPeekStack, would require to inherit from two classes, PeekStack and UndoStack. Since this is not possible in many OOP languages (diamond problem), code cloning occurs in order to reuse functionality in class UndoPeekStack. By contrast, with our feature-oriented implementation in Figure 3 we overcome these limitations due to class refinement and thus no code clones occur. Hence, we conclude that FOP can help to avoid code clones.

```
class Stack { ...
                                   class PeekStack
  void push(int v) {/*...*/}
                                     extends Stack{
 int pop() {/*...*/}
                                     int peek() {/*...*/}
class UndoStack
                                   class UndoPeekStack
                                     extends PeekStack {
  extends Stack {
  int backupPush;
                                     int backupPush;
  void undo() {/*.
                                     int peek() {/*...*/}
  void push(int v) {
                                     void undo() {/*...*/}
                                     void push(int v) {
    backupPush=v;
    original(v);
                                       backupPush=v;
                                       original(v);
```

Figure 4. Object-oriented implementation of *Stack* with features *Peek* and *Undo* 

At the same time, FOP may have limitations that may contribute to code cloning as well such as alternative features. To evaluate the existence and effect of code clones in feature-oriented SPLs, we discuss the key mechanisms of FOP and analyze how they possibly foster code cloning. We begin with a conceptual analysis independent of a particular FOP language, and identify (conceptual) limitations of FOP that may tempt the programmer to introduce code clones. Having knowledge on such weaknesses can help to deal with clones afterwards or even to avoid code clones in advance, e.g., by rethinking the design of the FOP language. We use the results of our conceptual analysis for structuring our empirical analysis.

Separation of concerns. Features are concerns<sup>3</sup> relevant in SPL engineering. As stated by Parnas [40], it is imperative to separate concerns. But some concerns (or rather their implementations) are inherently tangled with and scattered across other concerns, commonly referred to as crosscutting concerns [29]. Hence, it is difficult to encapsulate them in separate modules. Although FOP is able to modularize certain kinds of crosscutting concerns using feature modules, it has weaknesses to separate others, especially homogeneous crosscutting concerns [4]. Homogeneous crosscutting concerns extend a program at several points by the same piece of code

[15]. While this kind of crosscutting concern occurs rarely compared to others, it may introduce a comparatively large amount of code clones [2].

Granularity of extensions. A feature extends existing program structures by other features. Extensions can be carried out at different levels of granularity [27], e.g., extending classes or methods. For instance, in our Stack product line in Figure 3 we extend the method push in feature *Undo* by a statement at the beginning of the method. While FOP (and other compositional approaches) works fine for coarse-grained extensions, it has limitations when realizing fine-grained extensions such as extensions at statement level [27, 39]. For instance, extending a program by adding statements in the middle of an existing method is not possible with FOP without cumbersome boilerplate code. As an example, we show two methods of two features, breadth-first search (BFS) and depth-first search (DFS), of GPL [34] in Figure 5. Both methods differ only in the called method in Line 18. Furthermore, extending a program by adding a parameter to a method's signature is not possible either with FOP [27]. Both limitations are likely to cause code clones across features since the respective methods have to be reimplemented in features with only minor changes, as can be seen in Figure 5.

Alternative features. In particular, alternative features, i.e., features that are mutually exclusive, may lead to code clones. A main characteristic of alternative features is that they are often similar, differing only in some points. Since a structured reuse of the common code of such features is not possible, e.g., by class refinements, due to their alternative nature, the respective functionality has to be implemented for each feature separately. For instance, the two features BFS and DFS of Figure 5 are alternative features. Hence, the same functionality has to be implemented in both features separately. As a result, both features share a large portion of identical code and differ only in one particular statement.

**Restructuring features.** As usual (OOP) programs, SPLs are subject to software evolution. This means that features are extended due to new requirements and thus grow over time. This may lead to the point where a feature contains more functionality than it was designed for. As a result, the feature has to be split and the corresponding code has to be extracted from the existing feature into the new one using *Cut&Paste*. However, since this code is often tightly coupled with the remaining code of the existing feature, it might be unavoidable to reuse parts of this code by *Copy&Paste*, which may cause code clones.

All of the discussed limitations may introduce code clones. We refer to them as *FOP-related clones*, because they originate from the limitations and concepts of FOP. However, since FOP is based on OOP, other code clones, originating from the latter, may occur as well in feature-oriented SPLs. For instance, the presence of replicated code fragments within a class or a file may not be specific to FOP. We refer to these code clones as *OOP-related clones* in the remainder of the paper.

# 4. Empirical Analysis of Ten Feature-Oriented

To determine to what extent code clones exist in feature-oriented product lines, we conducted an analysis on ten different feature-oriented SPLs. In this section we describe the setup, the methodology and results of our analysis. Furthermore, we discuss the results and threats to validity.

 $<sup>^3</sup>$  In this context, a concern are (semantically related) implementation units that realize a certain functionality.

```
public class Graph
2
3
      public void search(WorkSpace w)
4
            VertexIter vxiter = getVertices();
if (vxiter.hasNext() == false) return;
5
6
7
             while (vxiter.hasNext())
8
9
                 Vertex v = vxiter.next():
10
                 v.init vertex(w):
11
12
             for (vxiter = getVertices(); vxiter.hasNext();)
13
14
                 Vertex v = vxiter.next();
15
                 if (!v.visited)
16
17
                     w.nextRegionAction(v);
18
                     v.bfSearch(w);
19
20
               //end for bfsSearch
21
22
```

```
Feature DFS
    public class Graph
2
3
        public void search(WorkSpace w)
4
            VertexIter vxiter = getVertices();
5
6
           if (vxiter.hasNext() == false) return;
            while (vxiter.hasNext())
8
                Vertex v = vxiter.next();
10
                v.init_vertex(w);
12
            for (vxiter = getVertices(); vxiter.hasNext();)
13
14
                Vertex v = vxiter.next();
15
                if (!v.visited)
16
17
                    w.nextRegionAction(v);
18
                    v.dfSearch(w):
19
20
21
```

Figure 5. Code clones between features BFS and DFS in GPL

## 4.1 Prerequisites

The subjects of our analysis are ten feature-oriented SPLs of different size (150 to 45000 SLOC<sup>4</sup>). All SPLs were developed with FOP tools based on Java, namely FeatureHouse [5] and AHEAD [9]. Furthermore, the selected feature-oriented SPLs stem from different domains such as database systems, editors, and mobile games. We list them in Table 1. The programs in the upper half of Table 1 are implemented from scratch, whereas the others are decomposed from legacy applications. Furthermore, we provide some information on authorship, code size, and the domain. We consider the whole code base of the feature-oriented product lines rather than certain variants. As a result, we are able to detect code clones across the boundaries of individual features, which are of interest for our analysis. For information on dependencies and relations amongst features, a feature model exists for each of the considered SPLs. All SPLs can be downloaded from the Web<sup>5</sup>.

We performed clone detection on the selected SPLs using the token-based clone detection tool *CCFinder* [24]. We decided to use

CCFinder because of its high recall and a relatively high precision, i.e., only few false positive code clones are detected [11]. As result, we can ensure that we not miss code clones in the analyzed SPLs. Within the tool, the user can specify different parameters such as minimum clone length. Guided by a former study that used CCFinder [12], we set the minimum clone length to five lines of code. By doing so we omit meaningless code clones such as getter and setter methods, which occur incidentally and thus have no value for our analysis. Afterwards, we merged corresponding code clones to clone classes based on the detection results, as usual in clone detection. Consequently, we can treat these clones as a unit for further analysis steps or even for their removal. Finally, we performed some minor transformations on the clone classes<sup>6</sup> such as removing comments or whitespaces. We list the results of the whole analysis in Table 2 and discuss the different parts of this Table in the remaining section.

program name	# SLOC #	# FM	description
GPL <sup>1</sup>	1 929	28	graph and algorithm library
$GUIDSL^2$	11 527	29	graphical configuration tool
Notepad <sup>3</sup>	1012	13	graphical text editor
PKJab <sup>4</sup>	3 3 0 5	8	instant messaging client
TankWar <sup>5</sup>	4 9 3 3	38	shoot 'em up game
$EPL^6$	149	11	arithmetic expression evaluator
Berkeley DB <sup>7</sup>	45 000	100	transactional storage engine
MobileMedia <sup>8</sup>	4 2 2 7	47	multimedia management
Violet <sup>9</sup>	7 194	88	graphical model editor
Prevayler <sup>10</sup>	5 2 7 0	6	persistence library

developed by <sup>1</sup>R. Lopez-Herrejon (UT Austin), <sup>2</sup>D. Batory (UT Austin), <sup>3</sup>A. Quark (UT Austin), <sup>4</sup>P. Wendler (U Passau), <sup>5</sup>L. Lei et al. (U Magdeburg), <sup>6</sup>R. Lopez-Herrejon (UT Austin)

refactored by  $^7$ C. Kästner (U Magdeburg),  $^8$ C. Kästner (U Magdeburg),  $^9$ A. Kampasi (UT Austin),  $^{10}$ J. Liu (UT Austin)

FM: feature modules

**Table 1.** Overview of the analyzed SPLs

# 4.2 Code Clone Analysis Methodology

Clone detection. In Table 2 (a), we show the results of the clone detection. The result of the initial clone detection process shows that the considered SPLs exhibit large portion of clones. For instance, in the *TankWar* SPL, we detected 20 % of the total code base to be code clones. Nevertheless, there may be clones which occur incidental and thus are meaningless for our analysis, e.g., a sequence of variable declarations. Furthermore, at this point it is still unclear, whether the clones are FOP-related or OOP-related. Hence, we perform a more sophisticated analysis to gain more information on the detected clones.

Syntactical classification. First of all, we classify the initial clone classes by their syntactic category, that is, if they are related to certain syntactical elements (e.g., statements, expressions, ...). Afterwards, we selected the clone classes which we classified into one of the following categories with the obvious meanings: IfStatement, ForStatement, WhileStatement, DoStatement, MethodDeclaration, and TypeDeclaration. We do this for two reasons. First, these categories indicate enclosing blocks (e.g., for loops) that encapsulate a semantically coherent piece of functionality. Hence, such blocks may result from explicit cloning activity (e.g., by Copy&Paste) rather than occur incidental. Second, these categories provide good

<sup>&</sup>lt;sup>4</sup> SLOC is acronym for source lines of code, a common metric, which refers to the length of the source code excluding comments and blank lines.

<sup>5</sup> http://www.fosd.de/fh

<sup>&</sup>lt;sup>6</sup> Whenever an action is performed on a clone class in the following, this action affects all of its member clones.

	(a) clone detection			(b) syntactical classification				(c) feature-related classification					
	$\overline{\mathrm{SLOC_{clones}}}$	CR <sub>cd</sub> in%	IS	FS	WS	DS	MD	TD	CR <sub>sc</sub> in%	CPF/A	CDF	$SLOC_{clones}$	CR <sub>FOP</sub> in%
GPL	731	37	0	14	0	0	480	185	35	652/652	0	652	34
GUIDSL	900	7	20	0	24	0	403	443	7	98/98	0	98	1
Notepad	291	28	130	0	0	0	18	58	20	130/0	0	130	12
PKJab	203	6	18	12	0	0	0	90	3	0/0	0	0	0
TankWar	1000	20	132	4	0	0	371	242	15	662/634	0	680	13
EPL	18	12	0	0	0	0	0	18	12	18/18	0	18	12
BerkeleyDB	952	2	65	0	0	0	436	207	1.5	69/69	67	357	<1
MobileMedi	a 716	16	24	0	0	0	46	482	13	16/16	135	305	7
Violet	784	11	56	24	0	0	30	315	6	220/162	108	328	5
Prevayler	131	2	6	0	0	0	62	58	2	0/0	0	16	<1

CR: clone ratio; IS: IfStatement; FS: ForStatement; WS: WhileStatement; DS: DoStatement; MD: MethodDeclaration; TD: TypeDeclaration; CPF/A: clones with common parent feature/portion of clones from alternative features; CDF: clones with common dependency feature;

**Table 2.** Statistics of clone detection and analysis

refactoring opportunities for code clone removal, for instance, by applying *Extract Method* or *Pull Up Method* refactorings, tailored to SPLs [18]. All clone classes with different syntactical categories are filtered out and not considered for further analysis.

We list the results of this classification in Table 2 (b). For each category, we give the amount of clones (SLOC), and in the last column we provide the clone ratio for each of the considered SPLs (column  $\mathrm{CR}_\mathrm{sc}$ ). For instance, the *TankWar* product line has the following amount of code clones: 371 SLOC related to method declarations, 242 SLOC related to type declarations, and 132 SLOC related to if statements. Overall, this product line has a clone ratio of 15 %, i.e., there are some clones that are not related to the considered syntactical categories.

Feature-related classification. In the second step of our analysis, we identify the nature of code clones, i.e., whether they are FOP-related or not. For determining the nature we have to consider corresponding code clones as a whole. Thus, we analyzed the clone classes created after clone detection and selected for further analysis by syntactical classification. First of all, we define the following condition: A clone class is FOP-related, if its member clones affect at least two features, i.e., the corresponding clones must occur in at least two different features. Code clones of a clone class that affect only one feature, occur within one class or between different classes of a single feature. Since this kind of cloning happens in a usual OOP program as well, we assume that these clone classes are OOP-related. These clones are relevant too, but outside the scope of this paper.

After the classification, we analyzed the FOP-related clones to gain information on the causes of cloning as well as to identify possible refactorings applicable for code clone removal. In detail, we identified dependencies amongst features that share common code. We discuss the concrete correlation between feature dependencies, obtained by this analysis step, and refactoring in Section 5.

We show the result of the whole second analysis step in Table 2 (c). The respective columns contain the results of our analysis. In column  $SLOC_{clones}$ , we list the total amount of clones between multiple features. Column CPF/A indicates the number of clones that occur in features with a common, direct parent feature and beyond that, how many of these clones occur in alternative features. Furthermore, we list how many clones (using the SLOC metric) occur in dependent features (column CDF). We describe such dependencies in Section 5 in detail. Finally, we list the clone ratio in column  $CR_{FOP}$ . For instance, the already mentioned TankWar SPL contains 680 lines of FOP-related code clones (column  $SLOC_{clones}$  in Table 2), which are 13 % compared to the

whole code size (column  $\mathrm{CR_{FOP}}$ ). The first part of column  $\mathit{CPF/A}$  indicates that 662 lines of code clones exist in features with a common, direct parent feature ( $\mathit{CPF}$ ). The second part of this column (A) indicates that 634 lines of code clones exist in alternative features. In the following subsection we will have a closer look on the results.

# 4.3 Results

During our analysis, we collected various data. Here, we only describe our results from in Table 2. For a discussion and interpretation of the results refer to Section 4.4. We structure our description according to our analysis steps and examine the differences that may result from the different development process of our SPLs.

The clone ratio, given at several points in this subsection, is always related to the total amount of code (SLOC) for each SPL. Additionally, we calculated the percentage of the average and the standard deviation  $(a \pm s)$  on the clone ratio of all considered SPLs. Next, we state the results for our three analysis steps, that is, clone detection, syntactical classification and feature-related classification. Additionally, we put our focus on how the results depend on the development process of the analyzed SPLs.

Amount of code clones. The results of our initial clone detection reveal that there is a significant amount of clones in feature-oriented SPLs (cf. Table 2 (a)). Regarding all considered SPLs,  $15\pm10\,\%$  of the overall code are clones. We observed considerable differences regarding the clone ratio of the particular SPLs that ranges from 2 % to 37 %, which is also reflected by the relatively high standard deviation. Beyond this, we noticed that two of the smallest SPLs (GPL and Notepad) exhibit the highest clone ratio values with 37 % and 28 % respectively. By contrast, the two largest SPLs (Berkely DB and GUIDSL) are amongst those with the lowest clone ratio value.

**Refactorable clones.** With our first analysis step, we aimed at detecting clones that could be target to refactorings because of their syntactical characteristics. The data (cf. Table 2 (b)) reveal that there is still a huge amount of clones that may be removable indicated by a total amount of code clones of  $12 \pm 9$  %. In addition, we observed the clone ratio decreases in comparison to the initial clone detection in almost all SPLs. We observed that the clone classes, filtered out by the syntactical classification, mainly fall into the three categories IfStatement, MethodDeclaration, and TypeDeclaration. Particularly, we noticed the high amount of code clones in category TypeDeclaration, which means that whole classes has been cloned.

**FOP-related clones.** The data resulting from the last analysis step (cf. Table 2 (c)), reveal, that there are code clones that are

FOP-related by our definition. Nevertheless, we observed that four feature-oriented SPLs (GUIDSL, PKJab, Berkeley DB, and Prevayler) contain (almost) no FOP-related clones. We assume that this results from the fact, that these SPLs exhibit the lowest clone ratio even in the initial clone detection (cf. Table 2 (a)) and that the existing clones are OOP-related. Generally, we observed that the clone ratio is considerable lower than the clone ratio after syntactical classification for all SPLs except of GPL. Regarding all SPLs, the amount of clones is  $9 \pm 9 \%$ , which reveal that there is a high diversity between the clone ratio of the several SPLs. Actually, four SPLs exhibit a clone ratio greater than 10 % whereas the clone ratio of the remaining SPLs is less than 8 %. Finally, we observed that the FOP-related clones are mostly distributed over alternative features that additionally have a common parent feature (column CPF/A in Table 2 (c)). Only in three SPLs (Mobile Media, BerkeleyDB, and Violet), clones are contained in features that have a common dependency feature instead of a common parent.

From scratch vs. Decomposed. Considering all of the data we collected, we observed one peculiarity: Throughout all analysis steps, the amount of clones in SPLs developed from scratch is significant higher than in SPLs decomposed from legacy applications. This is also indicated by the amount of clones that is significantly higher for SPLs from scratch  $(19\pm12\,\%$  in Table 2 (a),  $12\pm12\,\%$  in Table 2 (c)) than for SPLs from legacy applications  $(10\pm5\,\%$  in Table 2 (a),  $5\pm4\,\%$  in Table 2 (c)). The SPLs decomposed from legacy applications were developed originally object-oriented and finally, were decomposed manually or automatically [28]. Beyond that, the data reveal that the diversity of clone ratios between the individual SPLs from scratch is very high, which indicates that there are even differences amongst those SPLs. Finally, we observed that both kinds of SPLs contain FOP-related as well as OOP-related clones.

#### 4.4 Discussion

Next, we discuss the results of our case study along with the research questions, raised in the introductory section.

Do code clones exist in feature-oriented SPLs? Based on the results of our analysis, we conclude that a considerable amount of code clones actually exist in feature-oriented SPLs. Beyond that, we observed that there are significant differences, regarding the amount of clones between the analyzed SPLs in general, and in a few of them the amount is even negligible. In addition, some of the smallest SPLs exhibit the highest amount of clones. However, considering the overall result of the clone detection, we can not discover a correlation between SLOC metric and clone ratio.

Is FOP prone to introduce FOP-related clones in SPLs? Our results indicate that there are FOP-related clones in the analyzed SPLs. An interesting observation is that the majority of these FOP-related clones occur between alternative features. This observation coincides with the limitations of FOP analyzed by us and other researchers before. But this observation indicates that there is a high potential for code clone removal, because we can possibly extract the respective clones into a common feature (cf. Section 5). By contrast, we also detected clones that are FOP-related by our definition, which do not occur in alternative features. Considering our data, we can not clearly infer why these clones occur. But even without these clones it is a matter of fact that feature-oriented SPLs contain FOP-related clones.

Is the development process of the SPL crucial for code cloning? Our results show differences between the analyzed SPLs that can be ascribed to the development process (from scratch vs. decomposed). In detail, the SPLs developed from scratch contain a significant higher amount of clones than the SPLs decomposed from

legacy applications. Considering our data, we observe a relation between FOP-related clones and alternative features. Indeed, the SPLs from scratch have a considerable amount of alternative features while the decomposed SPLs have not. We conclude that a) alternative features especially lead to code clones and b) the SPLs from scratch contain a higher amount of this kind of features. This observation is supported by the fact that the SPLs decomposed from legacy applications were not designed with variability in mind and thus contained no or only few alternatives before decomposition. As a result, the variability was mostly introduced by optional features during the decomposition process.

Another reason may be that the programmers of the SPLs from scratch were not capable to exploit all concepts and mechanisms of FOP (as often observed with new programming paradigms). Hence, they may have introduced clones unnecessarily or missed to factor out clones where it was possible with the mechanisms of FOP.

How to deal with clones in feature-oriented SPLs? During our analysis, we particularly looked at the refactoring potential of the detected clones. The corresponding data reveal that a large portion of the overall detected clones exhibits characteristics that indicate refactoring opportunities. One interesting observation we made is that a huge amount of clones between alternative features are across method declarations. These clones can be refactored by pulling them up to the common, direct parent feature. We will have a closer look to concrete refactorings in Section 5.

However, our data do not reveal information on the concrete amount of actual refactorable clones. For instance, two cloned methods may be similar except of one statement, that differs in the call to another method as in Figure 5. As a result, it will be hard to apply a refactoring such as *Pull Up Method* to these clones. This requires a more detailed analysis, which we present exemplarily for one of the considered SPLs in Section 5. Besides refactoring, other possibilities exist for managing clones, we did not consider in our analysis, such as clone tracking [16] or linked editing [46]. The idea of both approach is that the detected clones remain in the code but information of their existence is used for their management, e.g., for changing code clones simultaneously. Apart from that, we believe that code clone removal, if applicable, is the most suitable practice for dealing with clones.

#### 4.5 Threats to validity.

Single FOP language. Although FOP is a general paradigm, it depends to some extent on the mechanisms of the underlying language. As a result, different FOP languages exist (e.g., for C++ and Java) that may lead to different implementations for feature-oriented SPLs. In this paper, we focused only on FOP languages based on Java so that the results of our analysis are comparable. However, the classification we made along with our analysis is also valid for other languages in theory, e.g., C++ or C#. Although no empirical evaluation for other languages yet exists, we assume that our analysis is independent of the underlying language.

Selected SPLs. A major problem with case studies is that the selected programs may be biased an thus the results are meaningless overall. In addition, only few SPLs exist that are implemented using FOP (based on Java) which might reduce the significance of our study. To address this problem, we considered all available feature-oriented SPLs for our study. Beyond that, the selected SPLs are from different domains and of different size. Nevertheless, one problem remains, that is, all of the analyzed SPLs are prototypical implementations from academia. Hence, there is a lack of comparable results of SPLs with industrial strength, which is also caused by the fact that such systems not exist for FOP. Nevertheless, the considered SPLs have been implemented by different authors and for other purposes than analyzing them for code clones. Consid-

ering the SPLs decomposed from legacy applications it is worth to mention that these SPLs where decomposed without code clone in mind. Hence, we can definitively exclude that the results of our empirical analysis are biased because of code clone awareness.

Classification of FOP-related clones. During our analysis, we proposed a classification for FOP-related clones based on the relation of the affected features. However, we detected clones for which we can neither infer why these clones occur nor if they are FOP-related indeed. One possibility is that these clones are contained in features that implement homogeneous crosscutting concerns. Since this kind of concerns occurs in OOP programs as well, the respective clones may be not purely FOP-related. Beyond that, our condition for FOP-related clones is rather a criteria that can be used to omit clones that are clearly OOP-related. As a result, this condition can not ensure that FOP-related clones are caused by FOP exclusively. Hence, we should refine this condition to be more restrictive in our classification of what an FOP-related clone is. However, we defined a lower bound with our definition of what an FOP-related code clone is, which can be used as a base for future work.

# 5. Removal of (FOP-related) Code Clones

Along with our empirical analysis, which we presented in Section 4, we focused on refactoring opportunities for removing the detected (FOP-related) clones. In this section, we discuss how the analysis results can be used for deriving concrete refactorings. Subsequently, we apply these refactorings exemplarily to one of our case studies, the *TankWar* product line.

#### **5.1** Extracting Code Clones from Features

To remove FOP-related clone classes there are different possibilities. A general pattern is that we want to replace the replicated code in multiple locations by a single reusable code fragment.

For example, in the simple case that an SPL always requires one of two alternative features, and both features introduce the same method, then we can remove all cloned instances of the method and introduce it only once in the root feature. With this modification, we eliminate cloning and the method is always available from the root feature. Obviously, we cannot move every cloned code fragment into the root feature. If it is valid to select none of the features containing cloned code, moving code to the root feature would bloat the code base of variants in that none of these features is selected. Additionally, it can be considered as violation of separation of concerns.

A general solution is to move cloned code into a newly created feature that is selected *if and only if* at least one of the features containing cloned code is selected. Consider the feature model in Figure 7 (a) and assume that some code between features C and D is cloned. In this case, we could create a new parent feature X for C and D and move the cloned code there as illustrated in Figure 7 (b). Alternatively, we can create a new feature X somewhere else in the feature model and use a cross-tree constraint (X equals C or D) to enforce the previous semantics as in Figure 7 (c). Of course, we can also search the feature model for existing features that would meet the condition, instead of creating a new one. Note that both transformations of the feature model preserve all existing variants and do not create new variants (called feature model refactoring) [45].

The pattern of moving cloned code to a single new location works uniformly for different kind of clones: cloned types, cloned methods... etc.

## 5.2 Exemplary Refactoring of FOP-related Code Clones

To evaluate the applicability of refactorings to FOP-related clones, we performed code clone removal by manually applying refac-

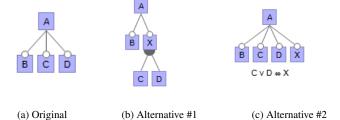


Figure 7. Feature model edits for code clone removal

torings for one of our case studies, called TankWar. TankWar is a shoot 'em up game, running on PC and handy, that was developed by students of the University of Magdeburg. We selected this product line, because it has both, high clone ratio and relatively high amount of FOP-related clones, and it is of medium size (approx. 5000 SLOC). The game was developed as product line because it must adhere to strong portability requirements [1]. For instance, TankWar has been developed for PC and handy, which have different constraints regarding memory or display. Even between handys, there can be considerable differences, e.g., a modern smartphone has more memory than a five year old handy. As a result, the developer must be able to tailor the game in order to achieve the best game quality. In Figure 6, we show the feature model of the TankWar product line where features such as Image and Sound are specific for different platforms. Furthermore, we can see several alternative feature groups and according to our analysis (cf. Table 2 (c)), these features contain a large portion of code clones. Hence, we expected that removing these clones by refactoring is very promising.

Following our analysis results, fourteen clone classes emerged that contain potentially refactorable clones. We list these clone classes in Table 3, together with their syntactical category (SC), the features containing the code clones (CF), the target feature for the refactorings (RF), and the applied refactorings (if possible). After a first review of these clone classes, we declared four clone classes (#11 – #14) as "not refactorable" for the following reasons: Three of them (#11 - #13), contained in different features below the feature Tools, consist of type-II clones which means that refactoring would be only possible with some workarounds. Since this leads to complicated code and, in this special case, to increased code size, we excluded these clone classes from the refactoring process. The fourth clone class (#14) consist of type-III clones with notable differences so that a refactoring was not applicable. Beyond this, the clones are scattered over features that have neither a common parent nor other dependencies that are essential for the application of refactorings.

For the remaining ten clone classes that where finally subject of our refactoring process, we made the following initial observations. Obviously, most of the clones exist between alternative features that separate platform-dependent functionality. In addition, the clone classes fall only into three different syntactical categories (IfStatement, MethodDeclaration, TypeDeclaration), which coincides with our observation in Section 4 that almost all clone classes fall into one of these categories. Furthermore, we made some observations, which we not listed in the table due to space restrictions. First, all member clones, i.e., clones of a single clone class, have a common, direct parent feature. Second, clone classes with syntactical category TypeDeclaration (TD) in fact contained replicated methods or constructors as code clones. Hence, we treat them like clone classes of category MethodDeclaration (MD) for the refactoring process. Third, we observed that seven clone classes consist of type-I clones and three of type-II clones.

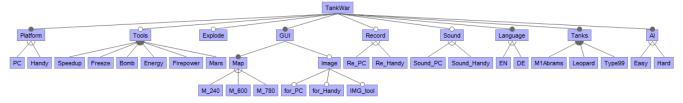


Figure 6. Feature model of the TankWar product line

CC	SC	CF	RF	Refactorings
# 1	TD	Leopard, Abrahams,	Tanks	EM, PUM
# 2	MD	PC, Handy	Platform	PUM
# 3	IS	PC, Handy	Platform	EM, PUM
# 4	MD	PC, Handy	Platform	PUM
# 5	MD	PC, Handy	Platform	PUM
# 6	MD	PC, Handy	Platform	PUM
#7	TD	PC, Handy	Platform	PUC
#8	MD	Re_PC, Re_Handy	Record	PUM
# 9	TD	Re_PC, Re_Handy	Record	PUC
# 10	IS	TankWar, Tools	TankWar	EM
# 11	TD	Bomb, Freeze,	_	_
# 12	TD	Bomb, Freeze,	_	_
# 13	TD	Bomb, Freeze,	_	_
# 14	IS	Handy, Re_Handy	_	_

CC: clone class; SC: syntactical category; CF: feature(s), containing the clones; RF: feature, the clones are refactored to; EM: Extract Method refactoring; PUM: Pull Up Method refactoring; PUC: Pull Up Constructor Body refactoring

**Table 3.** Overview of clone classes removed by refactorings

For the actual refactoring process, we had to tailor certain object-oriented refactorings to FOP. We call such refactorings feature-oriented refactorings<sup>7</sup>. For instance, we tailored the *Pull Up Method* refactoring so that it refers to features instead of classes. As a result, the application of this refactoring in the context of feature-oriented SPLs means that the respective method is moved from the current feature to its parent feature. In the same way, we tailored the *Pull Up Constructor Body*. In contrast, we used the *Extract Method* refactoring in its original form, because their is no difference between extracting a method in OOP and FOP.

During the application of the refactorings mentioned above, we made the following observations. Initially, we could apply the refactorings to all of the ten clone classes and consequently, remove the code clones. For three clone classes, we had to apply the Extract Method refactoring in advance, either for extracting the identical part of the clones (#1) or for extracting if statements into methods (#3, #10). For two clone classes (#7, #9) we had to replace a value by a variable, which we initialized for each of the clones separately. Finally, we applied the (feature-oriented) Pull Up Method refactoring to all clone classes to remove the clones.

After the code clone removal process, we analyzed the TankWar product line again, according to the methodology introduced in Section 4. This lead to the following results. The amount of code clones has been decreased throughout all analysis steps. Regarding the initial clone detection, the amount of clones decreased from 20 % (cf. Table 2 (a)) to 12 %. For the syntactical classification, the amount of code clones is 50 % lower in the refactored SPL (7 %) compared to the original one (15 %). Finally, we achieved a vast

decrease of the amount of FOP-related clones. In the refactored SPL, only 4 % FOP-related clones exist, which is three times lower than in the original SPL (12 %). We conclude that code clone removal through refactorings is a promising approach to remove FOP-related clones from feature-oriented SPLs.

#### 5.3 Discussion

In the following, we shortly discuss the results mentioned above.

Firstly, we state that a large portion of FOP-related clones can be removed by refactoring. This fact may raise the question whether these clones occur due to *copy&paste* activities rather than limitations of FOP. But even in this case it is unclear why the programmer copied the code. For instance, it is possible that the programmer was not aware of proper (feature-oriented) refactorings or mechanisms that can avoid the resulting code clones. As a result, these clones can be considered FOP-related to some extent as well.

Secondly, we observed that there are some limitations for the removal of FOP-related clones. On the one hand, all of the removed clones occurred in features with a common, direct parent feature and most of them were alternative features. Hence, we can make no clear statement on removing clones caused by fine-grained extensions or crosscutting concerns. On the other hand, the detected clones where mostly identical (*type-II*) or had only slight differences (*type-II*). In the case, that the clones have notable (syntactical) differences, it can be difficult to remove them.

Third, we found FOP-related clones (type-II and type-III) that where not refactorable at all. In particular, one reason was that the application of refactorings implied complicated workarounds that outweigh the benefits of code clone removal. This observation lead us to the assumption that there is a border line where the extraction (of clones) is no longer beneficial for maintainability.

However, we are convinced that code clone removal is possible for a considerable fraction FOP-related clones and that refactorings are a viable approach to do this. Nevertheless, more research is necessary to find out reasons, characteristics and solutions for code clones and their removal in feature-oriented SPLs.

# 6. Related Work

The different fields of research addressed in this paper such as clone detection/removal or feature-oriented programming, has been subject to intensive research in the past. In this section, we discuss the relation to our work.

Many studies exist on code clones in object-oriented software systems. Some of them only focus on whether code clones exist or not [6, 10, 31] whereas others analyze code clones with respect to their effects [32, 37], their removal [7] or other peculiarities, e.g., identifying crosscutting concerns [12]. However, all of these studies are limited to OOP (and, to a minor fraction, functional programming). By contrast, our work focuses on clone detection and analysis of particularities of FOP and SPLs, which has not been considered so far. We open a new field for code clone research activity. Additionally, we related the causes for FOP-related code

<sup>&</sup>lt;sup>7</sup> Note that this term is used in analogy to aspect-oriented refactorings [38] and different from the term used by Liu et al. [33], where it describes the process of decomposing (object-oriented) programs into features.

clones to the limitations of FOP, which can initiate discussions on FOP language design.

Beyond FOP, clone detection in SPLs is rare as well. Mende et al. propose clone detection for supporting the evolution of SPLs [36]. However, in their work they consider SPLs, realized by object-oriented, preprocessor-based languages such as C++ and thus, the individual features are separated only *virtually*, i.e., by syntactical elements such as *#ifdef*. In our work, we consider feature-oriented SPLs where the features are separated into modules and we show that clone detection for such SPLs is applicable as well.

Because of the negative effects of code clones, their removal has been subject of research in the past. Balazinska et al. propose advanced code clone analysis that supports the application of object-oriented refactorings for code clone removal [7]. Higo et al. propose a metric-based approach to identify refactoring opportunities [20] for code clones. Although we focus on refactorings for code clone removal as well, our work is different in the way that we propose refactorings tailored to FOP which is in line aspect-oriented refactorings [14, 38]. Specific details of such refactorings are out of the scope of this paper; for details see [33].

## 7. Conclusion

Code clones have negative effects on software systems. In this paper, we analyzed the existence of code clones in feature-oriented software product lines. To this end, we formulated research questions, regarding the causes and removal of code clones, specific to feature-oriented SPLs. Afterwards, we conducted an empirical analysis on ten different SPLs to answer these questions. Additionally, we performed a conceptual analysis on limitations of FOP and how these limitations contribute to code clones. Based on the results of the empirical analysis, we removed FOP-related code clones of an exemplary product line by the application of refactorings.

We observed, that code clones exist in feature-oriented product lines and that a considerable amount of these clones is FOP-related (in the context of SPLs). Furthermore, we found that these clones are caused by limitations of feature-oriented SPLs such as alternative features. Another interesting observation we made is that the development process is crucial for the amount of FOP-related code clones. More precisely, we found that feature-oriented SPLs, developed from scratch, contain a significantly higher amount of FOP-related clones than SPLs decomposed from legacy applications. Finally, we observed that refactoring is a viable approach to remove FOP-related clones.

However, there still some questions we could not answer so far. More research is necessary to quantify which causes are crucial for FOP-related clones and which are not. Furthermore, it is open if clones are common to SPLs in general. For instance, in future work we will analyze how other mechanisms for dealing with variabilty such as #ifdefs influence the occurence of code clones (compared to FOP). Nevertheless, our work and the results can serve as input for a discussion on code clones in feature-oriented SPLs and in SPL engineering in general.

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